Functional Programming Lecture 1

Rostislav Horčík Niklas Heim

Czech Technical University in Prague Faculty of Electrical Engineering xhorcik@fel.cvut.cz heimnikl@fel.cvut.cz Introduction

What is functional programming?

• Functional programming is a programming style that prefers to structure computer programs as compositions of pure functions.

What is functional programming?

- Functional programming is a programming style that prefers to structure computer programs as compositions of pure functions.
- It does not depend on a programming language but some languages are more suitable for functional programming than others.

What is functional programming?

- Functional programming is a programming style that prefers to structure computer programs as compositions of pure functions.
- It does not depend on a programming language but some languages are more suitable for functional programming than others.
- Functional programming languages are languages encouraging usage of pure functions.

Pure functions

A pure function is a function that, given the same input, will always return the same output and has no observable side effect.



Pure functions

A pure function is a function that, given the same input, will always return the same output and has no observable side effect.



No side effects = pure functions cannot modify and don't depend on any existing data structures

Pure functions

A pure function is a function that, given the same input, will always return the same output and has no observable side effect.



No side effects = pure functions cannot modify and don't depend on any existing data structures

A pure functional program = a composition of pure functions

counter = 0

```
def pure(x, y):
 return (x + y)/2
```

```
def do_other(x):
global counter
counter += 1
return x**2
```

def depends_on_other(x):
 return counter + x**2

 $\cdot\,$ unit testing and debugging



unit testing and debugging



- \cdot unit testing and debugging
- \cdot simpler refactoring



- $\cdot\,$ unit testing and debugging
- simpler refactoring
- concurrency and parallelism $-f(g_1,\ldots,g_n)$



- $\cdot\,$ unit testing and debugging
- simpler refactoring
- concurrency and parallelism $-f(g_1,\ldots,g_n)$
- formal verification mathematical induction, algebraic reasoning



- $\cdot\,$ unit testing and debugging
- simpler refactoring
- concurrency and parallelism $-f(g_1,\ldots,g_n)$
- formal verification mathematical induction, algebraic reasoning
- compiler optimization, pure functions are cachable



• Imperative loops updates a state in each iteration. FP uses recursion instead (stack holds the state).

- Imperative loops updates a state in each iteration. FP uses recursion instead (stack holds the state).
- Data structures in pure functional programs are immutable.

- Imperative loops updates a state in each iteration. FP uses recursion instead (stack holds the state).
- Data structures in pure functional programs are immutable.
- To modify a data structure, we need to copy it and do the desired modification.

- Imperative loops updates a state in each iteration. FP uses recursion instead (stack holds the state).
- Data structures in pure functional programs are immutable.
- To modify a data structure, we need to copy it and do the desired modification.
- The code generated by functional programming languages is typically less efficient.

- Imperative loops updates a state in each iteration. FP uses recursion instead (stack holds the state).
- Data structures in pure functional programs are immutable.
- To modify a data structure, we need to copy it and do the desired modification.
- The code generated by functional programming languages is typically less efficient.
- To reduce the number of copying, persistent data structures are used.

Persistent data structures



ys = insert ("e", xs)



Necessary side effects

• A pure functional program behaves like a calculator.

Necessary side effects

- A pure functional program behaves like a calculator.
- Real applications need side effects. In FP, we tend to make the pure part of an app as large as possible, keeping the "unsafe" effectful code to the bare minimum.

Necessary side effects

- A pure functional program behaves like a calculator.
- Real applications need side effects. In FP, we tend to make the pure part of an app as large as possible, keeping the "unsafe" effectful code to the bare minimum.



Alonzo Church

Alan Turing

Alonzo Church	Alan Turing
λ -calculus	Turing machine

Alonzo Church	Alan Turing
λ -calculus	Turing machine
Functional programming	Imperative programming

Alonzo Church	Alan Turing
λ -calculus	Turing machine
Functional programming	Imperative programming
Composition of functions	Seq. of instructions changing state

Alonzo Church	Alan Turing
λ -calculus	Turing machine
Functional programming	Imperative programming
Composition of functions	Seq. of instructions changing state
Function application	Instruction execution

Alonzo Church	Alan Turing
λ -calculus	Turing machine
Functional programming	Imperative programming
Composition of functions	Seq. of instructions changing state
Function application	Instruction execution
Recursion	Loops

Alonzo Church	Alan Turing
λ -calculus	Turing machine
Functional programming	Imperative programming
Composition of functions	Seq. of instructions changing state
Function application	Instruction execution
Recursion	Loops

Theorem

Turing machines and λ -calculus are equally strong regarding computing functions.

Organization

• Web: https://aicenter.github.io/FUP/

Course organization

- Web: https://aicenter.github.io/FUP/
- Lectures + Labs

Course organization

- Web: https://aicenter.github.io/FUP/
- Lectures + Labs
- BRUTE Homework assignments (50 points) \geq 25
- Web: https://aicenter.github.io/FUP/
- Lectures + Labs
- BRUTE Homework assignments (50 points) \geq 25
 - 2x Racket

- Web: https://aicenter.github.io/FUP/
- Lectures + Labs
- BRUTE Homework assignments (50 points) \geq 25
 - 2x Racket
 - 2x Haskell

- Web: https://aicenter.github.io/FUP/
- Lectures + Labs
- BRUTE Homework assignments (50 points) \geq 25
 - 2x Racket
 - 2x Haskell
 - must have at least 1 point from each

- Web: https://aicenter.github.io/FUP/
- Lectures + Labs
- BRUTE Homework assignments (50 points) \geq 25
 - 2x Racket
 - 2x Haskell
 - must have at least 1 point from each
 - Deadlines: -1 per day until +1 is left

- Web: https://aicenter.github.io/FUP/
- Lectures + Labs
- BRUTE Homework assignments (50 points) \geq 25
 - 2x Racket
 - 2x Haskell
 - must have at least 1 point from each
 - Deadlines: -1 per day until +1 is left
- Programming exam (30 points) \geq 16

- Web: https://aicenter.github.io/FUP/
- Lectures + Labs
- BRUTE Homework assignments (50 points) \geq 25
 - 2x Racket
 - 2x Haskell
 - must have at least 1 point from each
 - Deadlines: -1 per day until +1 is left
- Programming exam (30 points) \geq 16
- Theoretical oral exam (20 points) \geq 0

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed
 - · code-as-data (easy to write interpreters,...)

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed
 - code-as-data (easy to write interpreters,...)
 - allows mutable data

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed
 - code-as-data (easy to write interpreters,...)
 - allows mutable data
- · λ -calculus

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed
 - code-as-data (easy to write interpreters,...)
 - allows mutable data
- · λ -calculus
- Haskell

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed
 - · code-as-data (easy to write interpreters,...)
 - allows mutable data
- · λ -calculus
- Haskell
 - pure functional language

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed
 - · code-as-data (easy to write interpreters,...)
 - allows mutable data
- · λ -calculus
- Haskell
 - pure functional language
 - statically typed

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed
 - · code-as-data (easy to write interpreters,...)
 - allows mutable data
- · λ -calculus
- Haskell
 - pure functional language
 - statically typed
 - rich type system

- Lisp/Scheme/Racket
 - simple syntax (directly matches λ -calculus)
 - dynamically typed
 - · code-as-data (easy to write interpreters,...)
 - allows mutable data
- · λ -calculus
- Haskell
 - pure functional language
 - statically typed
 - rich type system
 - strictly separates the pure core from the mutable shell

[2] Raul Rojas. A Tutorial Introduction to the Lambda Calculus. http://www.inf.fu-berlin.de/lehre/WS03/alpi/ lambda.pdf

[2] Raul Rojas. A Tutorial Introduction to the Lambda Calculus. http://www.inf.fu-berlin.de/lehre/WS03/alpi/ lambda.pdf

[3] Graham Hutton: Programming in Haskell, Cambridge University Press, 2016.

[2] Raul Rojas. A Tutorial Introduction to the Lambda Calculus. http://www.inf.fu-berlin.de/lehre/WS03/alpi/ lambda.pdf

[3] Graham Hutton: Programming in Haskell, Cambridge University Press, 2016.

Course webpage: https://aicenter.github.io/FUP/

• Lisp = List processor

- Lisp = List processor
- Scheme is a dialect of Lisp (such as Common Lisp, Clojure)

- Lisp = List processor
- Scheme is a dialect of Lisp (such as Common Lisp, Clojure)
- Scheme last standard from 2007 The Revised6 Report on the Algorithmic Language Scheme (R6RS)

- Lisp = List processor
- Scheme is a dialect of Lisp (such as Common Lisp, Clojure)
- Scheme last standard from 2007 The Revised6 Report on the Algorithmic Language Scheme (R6RS)
- Racket is another dialect based on R5RS (Scheme with batteries)

- Lisp = List processor
- Scheme is a dialect of Lisp (such as Common Lisp, Clojure)
- Scheme last standard from 2007 The Revised6 Report on the Algorithmic Language Scheme (R6RS)
- Racket is another dialect based on R5RS (Scheme with batteries)
- DrRacket: racket-lang.org text editor + REPL (read-evaluate-print loop)

Racket program is a collection of expressions

Primitive expressions (literals, built-in functions)
 "Hello World!"

- Primitive expressions (literals, built-in functions)
 "Hello World!"
- Compound expressions (built by function composition)
 (cos (+ 1 2))

- Primitive expressions (literals, built-in functions)
 "Hello World!"
- Compound expressions (built by function composition)
 (cos (+ 1 2))
- Definitions (introduce new names and functions) (define (square x) (* x x))

- Primitive expressions (literals, built-in functions)
 "Hello World!"
- Compound expressions (built by function composition)
 (cos (+ 1 2))
- Definitions (introduce new names and functions) (define (square x) (* x x))
- Comments

```
; This is a one-line comment
#|
This is
a block comment
|#
```

Compound expressions are built from primitive expressions by function composition.

Compound expressions are built from primitive expressions by function composition.

Racket uses prefix notation. E.g.

$$\frac{xy^2+3}{x-1}$$

Compound expressions are built from primitive expressions by function composition.

Racket uses prefix notation. E.g.

$$\frac{xy^2 + 3}{x - 1}$$

Compound expressions are built from primitive expressions by function composition.

Racket uses prefix notation. E.g.

$$\frac{xy^2 + 3}{x - 1}$$

Note how we don't have to worry about operator precedence!
Compound expressions

Compound expressions are built from primitive expressions by function composition.

Racket uses prefix notation. E.g.

$$\frac{xy^2 + 3}{x - 1}$$

Note how we don't have to worry about operator precedence! S-expression

(fn arg1 arg2 ... argN)

Naming expressions(define id exp)

Definitions

- Naming expressions
 (define id exp)
- Defining functions
 (define (name arg1 ... argN) exp1 ... expM)

Definitions

- Naming expressions
 (define id exp)
- Defining functions
 (define (name arg1 ... argN) exp1

expM)

Nested definitions

(define (name a1 ... aN)
 (define (fn b1 ... bM) <body-fn>)
 <body-using-fn>)

Its evaluation is the computation process represented by the program.

Its evaluation is the computation process represented by the program.

The evaluation resembles simplifying expressions we know from math.

Its evaluation is the computation process represented by the program.

The evaluation resembles simplifying expressions we know from math.

More precisely, we subsequently evaluate subexpressions until we end up with the expression's value.

Evaluation strategy

(define (square x) (* x x)) (square (+ 3 4))

Evaluation strategy

(define (square x) (* x x))
(square (+ 3 4))
(square (+ 3 4)) => (square 7) => (* 7 7) => 49

Evaluation strategy

• Evaluation strategy defines the order of evaluating the expressions, influences program termination, not the result

- Evaluation strategy defines the order of evaluating the expressions, influences program termination, not the result
- Racket's strategy is strict (or eager) evaluates all arguments (left to right) before evaluating the function

- Evaluation strategy defines the order of evaluating the expressions, influences program termination, not the result
- Racket's strategy is strict (or eager) evaluates all arguments (left to right) before evaluating the function
- Evaluation of some syntactic forms is lazy if, cond, and, or

(if test-exp then-exp else-exp)

(if test-exp then-exp else-exp)
(if (> 0 1) 1 2) => 2

Conditional expressions

```
(if test-exp then-exp else-exp)
(if (> 0 1) 1 2) => 2
(if (< 0 1) 1 (+ 3 "a")) => 1
```

Conditional expressions

```
(if test-exp then-exp else-exp)
(if (> 0 1) 1 2) => 2
(if (< 0 1) 1 (+ 3 "a")) => 1
(cond [test-exp1 exp]
       [test-exp2 exp]
       ...
      [else exp])
```

Conditional expressions

```
(if test-exp then-exp else-exp)
(if (> 0 1) 1 2) => 2
(if (< 0 1) 1 (+ 3 "a")) => 1
(cond [test-exp1 exp]
      [test-exp2 exp]
      [else exp])
(cond [(odd? 12) 1]
      [(even? 12) 2]
      [else 3]) => 2
```

• Numbers: exact ½, inexact 3.14, complex 2 + 3i
+, -, *, /, abs, sqrt, number?, <, >, =

• Numbers: exact $\frac{1}{2}$, inexact 3.14, complex 2 + 3i

+, -, *, /, abs, sqrt, number?, <, >, =

• Logical values: #t, #f and, or, not, boolean?

• Numbers: exact $\frac{1}{2}$, inexact 3.14, complex 2 + 3i

+, -, *, /, abs, sqrt, number?, <, >, =

- Logical values: #t, #f and, or, not, boolean?
- Strings: "abc" string?, substring, string-append

• Numbers: exact ½, inexact 3.14, complex 2 + 3*i*

+, -, *, /, abs, sqrt, number?, <, >, =

- Logical values: #t, #f and, or, not, boolean?
- Strings: "abc" string?, substring, string-append
- Characters: #\A, #\@
 char?, char->integer, integer->char,
 list->string, string->list

• Numbers: exact $\frac{1}{2}$, inexact 3.14, complex 2 + 3i

+, -, *, /, abs, sqrt, number?, <, >, =

- Logical values: #t, #f and, or, not, boolean?
- Strings: "abc" string?, substring, string-append
- Characters: #\A, #\@
 char?, char->integer, integer->char,
 list->string, string->list
- Other types:

symbol?, pair?, procedure?, vector?, port?

 Tracing function calls and returns (require racket/trace) (trace fn) (untrace fn)

Recursion





• Linear: makes one recursive call



- Linear: makes one recursive call
- Tree: makes several recursive calls



- Linear: makes one recursive call
- Tree: makes several recursive calls
- Tail: the result of the recursive call is the final result of the function



Indirect (mutual)

- Linear: makes one recursive call
- Tree: makes several recursive calls
- Tail: the result of the recursive call is the final result of the function

(define (loop) (loop))

```
(define (loop) (loop))
(define (fact n)
   (if (<= n 1)
        1
        (* n (fact (- n 1)))))</pre>
```

```
(define (loop) (loop))
(define (fact n)
    (if (<= n 1)
        1
        (* n (fact (- n 1)))))
(fact 4) => (* 4 (fact 3))
         => (* 4 (* 3 (fact 2)))
         => (* 4 (* 3 (* 2 (fact 1))))
         => (* 4 (* 3 (* 2 (* 1 1)))) => 24
```
```
(define (loop) (loop))
(define (fact n)
    (if (<= n 1))
        1
        (* n (fact (- n 1)))))
(fact 4) => (* 4 (fact 3))
         => (* 4 (* 3 (fact 2)))
         => (* 4 (* 3 (* 2 (fact 1))))
         => (* 4 (* 3 (* 2 (* 1 1)))) => 24
```

Not space efficient. It needs O(n) memory.

```
(define (fact n [acc 1])
    (if (<= n 1)
        acc
        (fact (- n 1) (* n acc))))
(fact 4) = (fact 4 1)
         => (fact 3 4)
         => (fact 2 12)
         => (fact 1 24)
         => 24
```

```
(define (fact n [acc 1])
    (if (<= n 1))
        acc
        (fact (- n 1) (* n acc))))
(fact 4) = (fact 4 1)
         => (fact 3 4)
         => (fact 2 12)
         => (fact 1 24)
         => 24
```

This needs O(1) memory due to tail elimination.

1. Draw a stick of size *n* in the direction *d*.

- 1. Draw a stick of size *n* in the direction *d*.
- 2. Draw the fractal of size n/2 in the direction d + 60.

- 1. Draw a stick of size *n* in the direction *d*.
- 2. Draw the fractal of size n/2 in the direction d + 60.
- 3. Draw the fractal of size n/2 in the direction d 60.

- 1. Draw a stick of size *n* in the direction *d*.
- 2. Draw the fractal of size n/2 in the direction d + 60.
- 3. Draw the fractal of size n/2 in the direction d 60.
- 4. Draw a stick of size *n* in the direction *d*.

- 1. Draw a stick of size *n* in the direction *d*.
- 2. Draw the fractal of size n/2 in the direction d + 60.
- 3. Draw the fractal of size n/2 in the direction d 60.
- 4. Draw a stick of size *n* in the direction *d*.
- 5. Draw the fractal of size n 1 in the direction d + 5.



To draw a picture, we use the library value-turtles.

To draw a picture, we use the library value-turtles.

Its functions operates on an image together with a position and direction of a turtle.

To draw a picture, we use the library value-turtles.

Its functions operates on an image together with a position and direction of a turtle.

```
E.g. (draw 100 img)
```

To draw a picture, we use the library value-turtles.

Its functions operates on an image together with a position and direction of a turtle.

E.g. (draw 100 img)



• A pure function always returns the same output on a fixed input and has no side effects.

- A pure function always returns the same output on a fixed input and has no side effects.
- Make the pure part of a program as large as possible, keeping the code handling the state transparent and small.

- A pure function always returns the same output on a fixed input and has no side effects.
- Make the pure part of a program as large as possible, keeping the code handling the state transparent and small.
- Functional languages handle iterative computations by recursion.

- A pure function always returns the same output on a fixed input and has no side effects.
- Make the pure part of a program as large as possible, keeping the code handling the state transparent and small.
- Functional languages handle iterative computations by recursion.
- We classify recursive functions according to the number of recursive calls they make on linear-recursive and tree-recursive functions.

- A pure function always returns the same output on a fixed input and has no side effects.
- Make the pure part of a program as large as possible, keeping the code handling the state transparent and small.
- Functional languages handle iterative computations by recursion.
- We classify recursive functions according to the number of recursive calls they make on linear-recursive and tree-recursive functions.
- Tail recursive functions are space efficient as they do not consume memory by making recursive calls.